



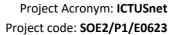


DELIVERABLE NO.D1.3.1 – TECHNICAL INTEROPERABILITY DOCUMENT

WP1 Development of regional registries and ICTUSnet platform

Due date: 31/10/2020
Actual submission date: 09/11/2020
Responsible partner: AQuAS
Version: Version no.5
Status: Final

Dissemination level: Consortium





Deliverable description:

This document describes the interoperability aspects of the ICTUSnet registry, with special detail on international and local standards used.

Revision history			
Version	Date	Comments	Partner
V1	10/01/2019	Initial proposal AQuAS	
V2	25/02/2019	Adding contributions from all the partners All	
V3	27/05/2019	New version focusing on Semantic interoperability AQuA	
V4	13/01/2020	New version adding suggestions from IACS and including technical and semantic interoperability	
V5	09/11/2020	Reviewed by External Advisory Board AQuAS	

Authors	
Name	Partner
Rosa Maria Vivanco Hidalgo	AQuAS
Ariadna Rius	Fundacio
	TiSalut I
	Social
	(Catalan
	Health
	Department)

Contributors		
Name	Partner	
Francisco Estupiñán	IACS	
Antonio Jose Moreno	IdisBa	
Silvia Ramis	IdisBa	
Javier Gorricho	FMS	
Maria Herrera-Isasi	FMS	
Elsa Azevedo	ARSN	
Hugo Filipe Baptista Monteiro	ARSN	
Carlos Ribeiro	ARSN	
Aymeric DOUILLARD	CHUM	
Prosper Burq	CHUT	
Mar Baiget	AQuAS	



ABBREVIATIONS AND ACRONYMS

ERDF	European Regional Development Fund
ICTUSnet	"Excellence R&D network towards the successful development and implementation of innovative models of stroke care strategies"
Interreg	European Territorial Co-operation
Sudoe	Southwestern Europe



TABLE OF CONTENTS

1.	INT	RODUCTION	6
2.	ICT	JSnet PROJECT	6
3.	SUE	OOE REGIONAL SITUATION	7
	3.1.	Catalonia	9
	3.2.	Aragon	10
	3.3.	Balearic Islands	12
	3.4.	Navarre	14
	3.5.	North Portugal	15
,	3.6.	Occitania Montpellier and Toulouse	16
,	3.7.	Current situation summary	18
4. FF		INITION OF INTEROPERABILITY AND ITS LAYERS AND INTEROPERABILITY VORKD OF THE ICTUSnet REGISTRY	18
	4.1.	Semantic interoperability	19
	4.2.	Technical Interoperability	36
	4.2.1.	Information System architecture	36
	4.2.1.1	. Features	36
	4.2.1.2	. Definition of the framework	37
	4.2.1.3	. Physical Data Design	38
	4.2.2.	How to send information to the ICTUSnet registry	40



EXECUTIVE SUMMARY

The present interoperability framework tackles the semantic and technical aspects of the central ICTUSNet registry and aims to help different stakeholders involve in the development of stroke registries, mainly those related with the endovascular procedures, to follow international recommendations (SNOMED-CT) and technical aspects once they consider to be part of the ICTUSnet network.

In this document, there are also a brief description of the stroke epidemiology and some aspects that were taking into account for every region to develop their stroke registry.

There is also a table with the list of variables that are part of the ICTUSnet registry, with their corresponding definition, SNOMED-CT code, values and labels to prepare a csv files ready to be interoperable with the central registry.



1. INTRODUCTION

The objective of the ICTUSnet registry, one of the main products of ICTUSnet project, is to create a South Western European repository of episodes of hospital admission due to ischemic stroke following a mechanical thrombectomy procedure. This repository will initially contain information of episodes from 6 regions of 3 different countries: Portugal (North), France (Occitania) and Spain (Aragon, Navarre, Balearic Islands and Catalonia); but it will be designed to allow its coulcides are substituted including more information of episodes (i.e., more procedures) and the integration of

its scalable evolution including more information about the episodes (i.e., more procedures) and the integration of

the information coming from any European country. In this approach, defining an interoperability common strategy

for the exchange of information is a must.

An interoperability framework has the objective to solve a concrete interoperability scenario, using already existing

international standards. In this framework, reference standards are selected and particularized to the concrete needs

of the considered project, starting from the description of the current situation of each agent involved in the scenario.

This document has the objective to define the Interoperability Framework for the ICTUS net project, which is structured

in the following sections:

ICTUSnet project: General description of the ICTUSnet project, with the main related information: partners,

calendar, etc.

SUDOE regional situation: Description of the relevant characteristics of each participant region.

Definition of interoperability and its layers and Interoperability framework of the ICTUSnet registry: general

description of interoperability and specific characteristics of the ICTUSnet registry.

As part of ICTUSnet Work Package 1 (Development of regional registries and ICTUSnet platform/PortalWeb), ICTUSnet members are entitled to develop the present framework that will try to guide, not only partners responsible of the

associated tasks, but other stakeholders interested in be part of the ICTUSnet registry.

2. ICTUSnet PROJECT

ICTUSnet has the mission of promoting collaboration in research and innovation to improve the integrated care and

quality of life of patients affected by a stroke. The project is supported on new data analysis technologies and the

creation of a network of excellence agents, sharing information, experiences and good practices using interoperability

methodologies.

ICTUSnet: - Deliverable 1.3.1 - Technical Interoperability Document Version 5 Final

Page **6** of **41**



Project code: SOE2/P1/E0623

ICTUSnet project has three specific objectives:

1. Use of **innovative big data technologies** available to extract and analyse information and explore new perspectives on stroke care strategies.

2. **Analysis of collected information** and stroke care strategies on patients, with attention to the different health and profile characteristics. Study, agree and plan measures to enhance effectivity in stroke care strategies on severe stage and post-evaluation procedures to reduce impact on stroke consequences.

3. **Foster international and regional cooperation** by exchanging good practices, peer learning among the different regional initiatives, and implementing a common advanced training programme.

The project is based on the management and shared use of data related to the stoke care process in the participating regions, covering geographical areas with a total population of approximately **20 million inhabitants**.

As part of the project, the WP1 leading partner institution (AQUAS) has the objective to develop a South Western European repository including data from episodes of hospital admissions due to ischaemic stroke that underwent mechanical thrombectomy. The clinical information about these episodes will be transmitted from 6 different regions (regional registries) to the ICTUSnet registry (central registry).

3. SUDOE REGIONAL SITUATION

The ICTUSnet project involves 6 regions from 3 different countries: Portugal (North Region), France (Occitanie) and Spain (Aragon, Navarre, Balearic Islands and Catalonia). The following image geographically locates these different European regions:

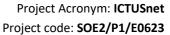






Figure 1. Location of the regions involved in the project.

Markers in the map also identify the partners of the project (involved in the exchange of information) as it is detailed in the table below:

Marker	Location and partner	Country
9	Balearic Islands: Fundación de Investigación Sanitaria de las Islas Baleares	Spain
9	Aragon: Instituto Aragonés de Ciencias de la Salud	Spain
9	Navarre: Navarrabiomed	Spain
0	Catalonia: AQUAS (Agència de Qualitat i Avaluació Sanitàries de	Spain
_	Catalunya)	
9	North Portugal: Administração Regional De Saúde Do Norte	Portugal
9	Occitania, Montpellier: Centre Hospitalier Universitaire	France
9	Occitania, Toulouse: Centre Hospitalier Universitaire	France

Table 1. ICTUSnet partners identification.

The following subsections summarize the present main characteristics of each of these partners, as well as their organization:

ICTUSnet: - Deliverable 1.3.1 - Technical Interoperability Document Version 5 Final

Page **8** of **41**



3.1. Catalonia

The current situation of the Catalonia Autonomous Community, in Spain, is presented in the following table 1:

Category	Item	Current situation
	Population:	7.518.913 citizens
	Number of hospitals:	71 hospitals
	Number of healthcare	130.000 professionals
General information	professionals:	
	Health system:	Public Health System called "SISCAT (Sistema
		sanitari integral d'utilització pública de
		Catalunya)"
	Use of standards:	Use of HL7, DICOM, IHE
		Use of ICD-9 and ICD-10 to encode diagnosis
		and procedures (hospital and primary care
		datasets, respectively)
ICT characteristics		Use of LOINC for laboratory test
ici cilaracteristics		Use of SNOMED CT as reference terminology
		for the EHR
	EHR situation:	20-30% of hospitals have the same EHR
		system but the remaining 80-70% use
		different EHR systems
	Regional stroke program:	A regional Stroke program and a code stroke
		network exist
	Reference stroke hospital:	27 hospitals in Catalonia are part of the so-
		called Code Stroke network (6 Comprehensive
		Stroke Centres, 8 Primary stroke Centres, and
		13 Community Hospitals with Telestroke)
	Number of stroke cases per	>13,000 episodes per year, of which just over
Stroke related	year:	800 involve treatment with Mechanical
information		Thrombectomy
	Stroke registry:	A centralized stroke registry exists, called
		CICAT, but it is not integrated with the
		different EHR systems
	Stroke structured	The information is structured in the register
	information:	but not in the different EHR systems, with the
		exception of hospitals sharing a common HER
		system

¹ Information complemented with <u>2017 General Report of the Catalan Health System.</u>

 $\begin{tabular}{ll} ICTUSnet: & - Deliverable {\bf 1.3.1} - Technical Interoperability Document} \\ Version {\bf 5} & Final \\ \end{tabular}$

Page **9** of **41**



Sudoe
ıctusnet.⊅🆫

	Next steps:	Improving the existing registry to facilitate the
		recording process by professionals and
		integration of this information in the different
		EHR systems
Approach for	Publication of information:	Directly from the regional registry
ICTUSnet	Data sources:	27 hospitals
icrosilet	Possible use of FHIR and	They think that the use of FHIR and SNOMED
	SNOMED CT:	CT for the ICTUSnet project is assumable and
		possible
	Piloting centres:	Directly from the regional registry (27
		hospitals)
Other		
considerations and		
comments		

Table 2. Stroke summary of the current situation in Catalonia

3.2. Aragon

The current situation of the Aragon Autonomous Community, in Spain, is presented in the following table²:

Category	Item	Current situation
	Population:	1.308.750 citizens
	Number of hospitals:	12 hospitals
General information	Number of healthcare	16.659 professionals
General information	professionals:	
	Health system:	Public Health System called Servicio Aragonés
		de Salud (SALUD)
	Use of standards:	Use of HL7
		Developed their own data model based on
		13606
		Use of ICD-10-CM to encode diagnosis
		(2016/01 onwards). This refers only to
ICT characteristics		diagnosis and procedures registered during
		the hospital episode. Diagnoses at other
		instances of the healthcare system (i.e.,
		Primary care or Emergency wards within the
		hospital) are coded using other standards
		(i.e., CIAP-I for primary care and CIE-9MC ES

² Information complemented with the official statistics webpage of the <u>Aragon's government</u>.

_



ICTUS CLP '		
		for emergency ward services).
		Experience in SNOMED CT to structure the
		EHR information
	EHR situation:	All the hospitals have the same EHR system
		with an integrated data base (with integration
		of the Stroke registry from January 2019
		onwards)
	Regional stroke program:	A regional Stroke code network exists from
		2009 onwards (Código Ictus Aragón)
	Reference stroke hospital:	Thrombectomy treatments: Multihospital
		unit involving neurologist from both Hospital
		Miguel Servet and Hospital Clínico Lozano
		Blesa located in Hospital Miguel Servet
		Fibrinolysis treatment: Performed in all
		hospitals of Aragon.
		Neurological clinical service: 24x7 service by
Stroke related		the multihospital unit at Miguel Servet
information		Hospital
	Number of stroke cases per	>2000 episodes per year and 90-130 of them
	year:	involving a thrombectomy treatment
	Stroke registry:	A unique and centralised registry exists for all
	,	the region (maintained and supported at
		Hospital Miguel Servet, as centre of reference
		within the Regional Health Service (SALUD)
	Stroke structured	They have it but it is not structured using an
	information:	international controlled vocabulary
	Next steps:	The development of the Stroke registry
		module in the HER has already concluded and
		it has been deployed and is piloted by
		neurologists at Royo Villanova and Alcañiz
		hospitals.
	Publication of information:	They are evaluating the use of a middleware
Approach for	Tableation of information.	to send the information to the ICTUSnet
ICTUSnet		platform with a fix periodicity.
		This is an operative and organisational
		requirement as participant partner is Instituto
		Aragonés de Ciencias de la Salud, not Servicio
		Aragonés de Salud thus data from the registry
		should be handled previously de-identified at



European Regional Development Fund		
		origin to the IACS in order to enable their
		transformation to the ICTUSnet common data
		model and interoperability standards and
		transferred to the ICTUSnet registry.
	Data sources:	2 possible strategies: obtain the information
		from the central registry or from the HER.
		There is only one common EHR covering all
		health system in Aragón.
		Preferred strategy accounting for a
		prospective registry would be to extract all
		information from the Stroke module of the
		EHR.
	Possible use of FHIR and	They think that the use of FHIR and SNOMED
	SNOMED CT:	CT for the ICTUSnet project is assumable and
		possible
	Piloting centres:	Directly from the regional registry (9
		hospitals)
Other		
considerations and		
comments		
	1	

Table 3. Stroke summary of the current situation in Aragon

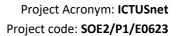
3.3. Balearic Islands

The current situation of the Balearic Islands Autonomous Community, in Spain, is presented in the following table³:

Category	ltem	Current situation
	Population:	1.115.999 citizens
	Number of hospitals:	7 hospitals
General information	Number of healthcare	14.289 professionals
General information	professionals:	
	Health system	Public Health System called "Servei de Salut
		Illes Balears"
	Use of standards:	Use of HL7
ICT characteristics		Use of ICD-10 to encode diagnosis
		Experience in SNOMED CT to structure the

³ Information complemented with the official webpage of the <u>Balearic Islands government</u>.

ICTUSnet: - Deliverable 1.3.1 - Technical Interoperability Document Version 5 Final





		EHR information
	EHR situation:	There are 3 different EHR platforms in the
		Public Health System: Primary Care use ESIAP
		Hospital Son Espases use Cerner-Millennium
		and rest of Hospitals use HCIS.
	Regional stroke program:	A protocol "code stroke" exists at a clinical
		level
	Reference stroke hospital:	Thrombectomy treatments: Hospital Son
		Espases Palma de Mallorca
	Number of stroke cases per	>2000 episodes per year and 130-200 of them
	year:	involves a thrombectomy treatment
	Stroke registry:	They have 2 different stroke specific
Stroke related		registries, one in Son Espases Hospital and
information		another one in Son Llàtzer Hospital. The
		registries are not integrated nor structured
		using an international controlled vocabulary
	Stroke structured	In the EHR system, they have structured all
	information:	the data set considered in ICTUSnet project,
		but currently not using international
		controlled vocabularies (working in mapping
		with SNOMED-CT)
	Next steps:	To decide the publication strategy
	Publication of information:	They are evaluating the use of a middleware
		to send the information to the ICTUSnet
		platform with a fix periodicity
	Data sources:	Data from Hospital Son Espases Palma de
Approach for		Mallorca (because it is the unique hospital
ICTUSnet		that performs thrombectomy)
	Possible use of FHIR and	They think that the use of FHIR and SNOMED
	SNOMED CT:	CT for the ICTUSnet project is assumable and
		possible
	Piloting centres:	1 centre: Hospital Son Espases Palma de
		Mallorca

Table 4. Stroke summary of the current situation in Balearic Islands

considerations and

comments



3.4. Navarre

The current situation of the Navarre Autonomous Community, in Spain, is presented in the following table⁴:

Category	Item	Current situation
	Population:	643.234 citizens
	Number of hospitals:	3 hospitals
General information	Number of healthcare	10.277 professionals
General information	professionals:	
	Health system	Public Health System called "Servicio Navarro
		de Salud-Osasunbidea"
	Use of standards:	We use HL7 with some products
		Use of ICD-10 to encode diagnosis in hospital
		Use of ICPC2 to encode diagnosis in primary
ICT characteristics		attention
101 01141400110410		Experience in SNOMED CT to structure the
	FLID alternations	EHR information of allergies
	EHR situation:	All the hospitals have the same EHR system
		with an integrated data base
	Regional stroke program:	A protocol "code stroke" exists
	Reference stroke hospital:	1 hospital with stroke unit Complejo
		Hospitalario de Navarra, in Pamplona
	Number of stroke cases per	900 episodes per year: 86% correspond to
Stroke related	year:	ischemic strokes and 20% of these are treated
information		pharmacological (fibrinolytic) intravenously
illionnation		and 4% benefit from thrombectomy
		treatments by catheterization
	Stroke registry:	There isn't a regional stroke registry
	Stroke structured	The stroke information is not structured in
	information:	the EHR
	Next steps:	They are working to create an structured
		centralized registry of stroke episodes
Approach for	Publication of information:	The information can be send directly from the
ICTUSnet		new stroke registry
	Data sources:	Complejo Hospitalario de Navarra new
		registry

 $\begin{tabular}{ll} ICTUSnet: & - Deliverable {\bf 1.3.1} - Technical Interoperability Document} \\ Version {\bf 5} & Final \\ \end{tabular}$

Page **14** of **41**

 $^{^{4}}$ Information complemented with $\underline{2017\ General\ Report\ of\ the\ Navarre\ Health\ System}.$



European Regional Development Fund		
	Possible use of FHIR and	They think that the use of FHIR and SNOMED
	SNOMED CT:	CT for the ICTUSnet project is assumable and
		possible
	Piloting centres:	1 centre: Complejo Hospitalario de Navarra
Other	They have patients from La Ric	oja and they can consult their information but
considerations and	they don't have this information	on integrated in their EHR
comments		

Table 5. Stroke summary of the current situation in Navarre

3.5. North Portugal

The current situation of the North region of Portugal is presented in the following table:

Category	ltem	Current situation
	Population:	3.689.000 citizens
	Number of hospitals:	ARSN region: 25 hospitals, 11 of them receive
		stroke code patients (and do thrombolysis), of
		which 4 also do endovascular treatment
	Number of healthcare	35537 – professionals in all ARSN hospitals;
General information	professionals:	31317 – professionals in ARSN 11 hospitals
		with stroke code and thrombolysis treatment;
		16035 – professionals in ARSN 4 hospitals
		with endovascular treatment
	Health system:	Public Health System called Serviço Nacional
		de Saúde
	Use of standards:	Use HL7 V2.X in some projects and initiatives
		Use of ICD-10 to encode diagnosis and
		procedures
		Use of SNOMED CT in some projects and
ICT characteristics		initiatives (not in stroke care)
ici cilaracteristics	EHR situation:	All the hospitals have the same EHR system
		(SONHO / Sclínico) with an integrated data
		base, developed by the Ministry of Health of
		Portugal (Serviços Partilhados do Ministério
		da Saúde – SPMS)
Stroke related	Regional stroke program:	yes
information	Reference stroke hospital:	11 hospitals perform intravenous
		thrombolysis and 4 hospitals perform



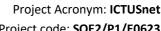
European Regional Development Fund		
		endovascular treatment in stroke patients
	Number of stroke cases per	About 7000 stroke episodes per year: 85%
	year:	correspond to ischemic strokes and about 8%
		of these are treated pharmacological
		(fibrinolytic) intravenously and 4% benefit
		from thrombectomy treatments by
		catheterization
	Stroke registry:	In advanced preparation
	Stroke structured	Not yet. The stroke information is not
	information:	structured in the EHR
	Next steps:	They are creating a regional specific stroke
		registry and they think that it will be available
		in 2019. It implies to add the stroke variables
		in the structured EHR system
	Publication of information:	The information can be send directly from the
Approach for		new stroke registry
ICTUSnet	Data sources:	Directly from the regional registry
	Possible use of FHIR and	They think that the use of FHIR and SNOMED
	SNOMED CT:	CT for the ICTUSnet project is assumable and
		possible
	Piloting centres:	Directly from the regional registry
Other		
considerations and		
comments		

Table 6. Stroke summary of the current situation in North Portugal

3.6. Occitania Montpellier and Toulouse

The current situation of the regions of Occitania Montpellier and Toulouse, in France, is presented in the following table:

Category	ltem	Current situation
	Population:	5.900.000
	Number of hospitals:	58
General information	Number of healthcare	27579
General information	professionals:	
	Health system	Public health system called "Agence regional
		de santé"
ICT characteristics	Use of standards:	Don't use HL7





r roject Acronym. ICrosne	
Project code: SOE2/P1/E062	3

European Regional Development Fund		Use of ICD-10 to encode diagnosis
	EHR situation:	Montpellier and Toulouse have different EHR systems
	Regional stroke program:	National stroke plan 2010-2014
	Reference stroke hospital:	 5 TSC 15 PSC 2 CSC (CHU Toulouse et CHU Montpellier)
	Number of stroke cases per	250 ischemic episodes per 100,000 inh per
	year:	year:.
Stroke related		Thrombolysis rate: 14.3%
information		Thrombectomy treatments: NK
	Stroke registry:	There is a regional registry called PMSI but it
		contains sociodemographic data. There is a
		Endovascular treatment in ischemic stroke
		registry called ETIS.
		There isn't a specific regional stroke registry.
	Stroke structured	The stroke information is not structured in
	information:	the EHR
	Next steps:	They need to decide if they create a regional
		stroke registry (for example, enlarging the
		scope of ETIS) or if they create a specific
		registry per centre
	Publication of information:	It depend on the next steps decision
	Data sources:	It depend on the next steps decision
Approach for	Possible use of FHIR and	They think that it could be possible to use
ICTUSnet	SNOMED CT:	SNOMED CT to send the stroke variables to ICTUSnet
		They think that it could be so difficult for
		them to adopt FHIR or web services to it
		They propose to send a file like an CSV one
	Piloting centres:	2 centres: Centre Hospitalier Universitaire de
		Toulouse and Centre Hospitalier Universitaire
		de Montpellier
Other		
considerations and		
comments		

Table 6. Stroke summary of the current situation in Occitania Montpellier and Toulouse



Project code: SOE2/P1/E0623

3.7. Current situation summary

Currently, regional stroke registries are in different stages of development: Catalonia is the region that has the oldest stroke registry (since 2011), while ARSN, Aragon, Navarre and Balearic Island have their own regional registry in different stages. Unlike Catalonia, these regions have developed their registry with information integrated in the HER, except ARSN that is developing a form using Microsoft software within the public health network. Occitaine does not have a registry yet and it is going to develop its own registry during the course of the ICTUSnet project and will obtained the information through the French national registry (Endovascular Treatment in Ischemic Stroke, ETIS).

Regarding the approach for ICTUSnet, stroke information is not fully structure in all regions.

4. DEFINITION OF INTEROPERABILITY AND ITS LAYERS AND INTEROPERABILITY FRAMEWORKD OF THE ICTUSnet REGISTRY

Interoperability is the capability of components (like IT systems or devices) to exchange information without losing its meaning. This communication needs to be performed ensuring the coherent sharing of data between different departments, organizations, care levels or regions, like countries.

In our case, the primary objective of ICTUSnet registry is to compare the performance of the hospitals offering the mechanical thrombectomy procedure to patients admitted with an ischemic stroke, and generate new evidence. Interoperability is not an ability that happens or not, as it has the following different levels:

- **Technical**: Makes focus on the communication's establishment in order to allow the exchange of information.
- **Syntactic**: Allows the exchange of information in this communication.
- **Semantic**: Ensures that the information that is exchanged doesn't lose its meaning.
- Legal: The exchange is done respecting law and ethical issues, also in a cross-border scenario.
- Organizational: The exchange is aligned with processes and workflows of all the organizations involved in the
 exchange of data.

Specific standards have been created to address each layer of interoperability. The Interoperability Framework described in this document makes focus on the **technical** and **semantic** layer for the purpose of ICTUSnet. For the legal and ethical issues, please, refer to Deliverable 1.2



4.1. Semantic interoperability

This kind of standards aims to normalize the meaning of the information according a conceptual model. Some of them are reference models itself and others use a subjacent conceptual model to organize and represent real concepts or ideas. International and standard controlled vocabularies are necessary to ensure that the meaning of heterogeneous data coming from different sources is not lost or altered, and that it can be exploited and analysed in a global way. According their use in IS (Information Systems), the languages used in healthcare domains can be classified in natural language and controlled vocabularies. Natural language can't be used by IS to process automatically the information, it is necessary to structure the vocabulary before it. Controlled vocabularies are those specifically designed to represent information in IS and can be of three different types:

- Interface (input) vocabularies: Those used by healthcare professionals to record the information in IS performing the first level of formalization. They offer options to refine the concepts by adding more information to them.
- Reference vocabularies: They allow relate controlled vocabularies in order to compare and consider information obtained from different sources. This type of vocabulary has the maximum level of detail and should has concepts related to each other through different type of relationships. They are the vocabularies that allow us to achieve full semantic interoperability of contents and that can be used to represent the information to be used in Clinical Decision Support Systems.
- Aggregation (output) vocabularies: Those used to exploit the information to plan, statistics, reimbursement, population analysis, etc. It offers the possibility to interpret information from different points of view (e.g. clinical and financial).

There are different international controlled vocabularies used to represent and encode information of different healthcare domains. For the purpose of ICTUSnet project, we will use **SNOMED CT** (Systematized Nomenclature of Medicine Clinical Terms, a clinical terminology that contains concepts from different domains like procedures, diagnosis, substances, physical objects, etc. It also includes several non-strictly-clinical concepts like social problems, environmental information or wellness and fitness ones); **ATC** (Anatomical Therapeutic Chemical, a classification to group active ingredients of medicines); and **ICDs** (International Classification of Diseases, classifications to group diagnoses, with some of their editions also including procedures).

ICTUSnet's Consortium has elaborated a Glossary (see Deliverable 1.1.1 http://ictusnet-sudoe.eu/pub_type/deliverables/) that contained the consensus variables (54) related to reperfusion treatment in acute ischemic stroke, mainly endovascular treatment (EVT) to be shared in ICTUSnet registry. A process of codification using the aforementioned international controlled vocabularies has took place, with the collaboration of the Office of

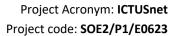


Standards and Interoperability (OFSTI) of the TIC Salut Social Foundation

(https://ticsalutsocial.cat/en/area/interoperability/). During the process, we have detected that some variables were not found in the current SNOMED-CT version, so a formal requirement to introduce these missing terms was sent to IHTSDO (pending response).

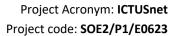
Here we present a figure with the final version of the dataset content and database structure:

Name	Description	Concept Id (SNOMED CT)	Term (SNOMED CT)	Format (text, data, numeric	Values - Code	Values - Description	Units of mesure	Related information
ld event	Id for every episode	non	non	numeric	random	None		
	Identification				FR-OCCEWEST	Occitania west		
	of the region of the stroke				FR-OCCEAST	Occitania east		
	event: Occitania,				PT-RN	Portugal North Region		https://www.iso.org/obp/ui/#iso:code:316 6:PT
Id region	North	223496003	Geographical and political	Coded -	ES-AR	Aragon		
1 10 1	Portugal, Aragon,		regions of the world	fix value	ES-NC	Navarre		
	Balearic Islands,			_	ES-IB	Balearic Islands		
	Navarre, Catalonia				ES-CT	Catalonia		
	The case				0	no		
Resident in the area	corresponds to a patient that has his/her residency in the same region where he/she is assisted, in order to distinguish tourists (included for population rates)	416647007	Country of residence	boolean	1	yes		
Sex	Sex of the patient	184100006	Patient sex		248153007 248152002	Male Female		



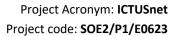


European Regional Development Fund				Coded - single selection	82334004	Undetermined	
Age	Age of the patient in the stroke event moment	424144002	Current chronological age	Numeric			Years
Previous mRS	Modified Ranking Scale, with its associated data and time. For the 3- month mRS, if the final value is 6 (which implies death), the data of death will also being indicated	273729003	Rankin scale	Numeric	0 to 5 or 99		
Comorbilities	Relevant history of diagnostics to consider: Past history of myocardial	398192003	Co-morbid conditions	Coded - multiple	275526006 399211009	History of cerebrovascula r accident Past history of myocardial infarction	
	infarction (including angina) Past history of cerebrovascul			selection	260413007	None	



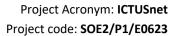


	ar accident (considered all types, ischemic, haemorrhagic, TIA)					
	Relevant factors that				49436004	Atrial fibrillation
	increase				38341003	Hypertension
	patients' risk of stroke, and				73211009	Diabetes mellitus
	are present at the time of admission:		266918002	Tobacco smoking consumption		
Risk factors	Atrial fibrillation Hypertension: per physician diagnosis, use of medication, or evidence of at least two raised blood pressure measurement s [systolic >140 mmHg or diastolic >90 mmHg] recorded on different days before stroke	80943009	Risk factor	Coded - multiple selection	370992007	Dyslipidemia





an Regional Development Fund				ı		
	onset					
	Diabetes					
	mellitus:					
	previous					
	physician					
	diagnosis or					
	use of					
	medication					
	Current					
	tobacco					
	smoking					
	consumption					
	Dyslipidaemia:					
	physician					
	diagnosis, use					
	of medication,					
	serum					
	cholesterol					
	concentration					
	>220 mg/dl,					
	low-density					
	lipoprotein					
	cholesterol					
	>130 mg/dl or					
	serum					
	triglyceride					
	concentration					
	>150 mg/dl in					
	previous					
	blood tests					
					260413007	None





uropean Regional Development Fund							
Diastolic blood pressure	At time of admission in the emergency department (first measure) Measured in mmHg, with its associated date and time	271650006	Diastolic blood pressure	Numeric	integer	mmHg	
Systolic blood pressure	At time of admission in the emergency department (first measure) Measured in mmHg, with its associated date and time	72313002	Systolic arterial pressure	Numeric	integer	mmHg	
Blood glucose	At time of admission in the emergency department (first measure) Measured in mg/dl, with its associated date and time	434912009	Blood glucose concentration	Numeric	Integer	mg/dl	



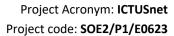
ropean Regional Development Fund							
INR	At time of admission in the emergency department (first measure) International Normalized Ratio	165581004	INR	Numeric	float (1) o 99		
Prior	Active				0	No	
anticoagulation therapy	treatment prior to the episode	81839001	Anticoagulant agent		1	Yes	
	Active treatment P				B01AA07	acenocumarol	
			Anticoagulant agent	Coded - multiple	B01AA03	warfarin	
					B01AB01	heparin iv	
Type of anticoagulation		PENDENT CODI ATC			B01AB	heparin group (subcutaneous)	Conditional if "prior anticoagulation therapy" is 1 (YES)
	episode			selection	B01AE07	dabigatran	
					B01AF01	rivaroxaban	
					B01AF02	apixaban	
					B01AF03	edoxaban	
nuiou ontinlotolat	Active				0	no	
prior antiplatelet therapy	treatment prior to the episode	108972005	Antiplatelet agent		1	yes	
type of antiplatelet therapy		PENDENT			B01AC05	ticoplidine	Conditional if "prior antiplatelet therapy" is
		CODI ATC			B01AC06	acetylsalicylic acid	1 (YES)



European Regional Development Fund					2011007		
					B01AC07	dipyridamol	
					B01AC24	ticagrelor	
					B01AC04	clopidogrel	
Date time of onset of symptoms (or last time seen asymptomatic)	Date time of onset of symptoms (or last time seen asymptomatic)	409586006	Complaint	date time			Date YYYYMMDDTHHMM or 99
Date time of arrival to the hospital (receptor)	Date time of arrival to the first hospital. Corresponds to the date time recorded in admissions	32485007	Hospital admission	date time			Date YYYYMMDDTHHMM or 99
Initial NIHSS	NIHSS score at the arrival	450741005	National Institutes of Health stroke scale	Numeric	0 to 42 or 99		
Diagnostic	Initial diagnostic	439401001	Diagnosis	Coded - single	1386000	Intracranial hemorrhage	
Diagnostic		439401001	Diagnosis	selection	422504002	Ischemic stroke	
					34227000	CT of brain	
				Coded -	433111008	CT of brain perfusion	
Type of 1rst imaging		71388002	Procedure	multiple selection	29567006	MRI of brain and brain stem	
				Selection	419059006	MRI cerebral perfusion study	



European Regional Development Fund						
				426099006	CT of cerebral vessels	
				244664002	MRI of	
				241664002	cerebral vessels	
					Transcranial	
				431648005	doppler	
					ultrasonograp	
					hy	
				20.474.0000	Carotid artery	
				394719009	doppler	
				129118002	assessment Arteriography	
				262008008	Not performed	
Date/time first imaging			date time			Date YYYYMMDDTHHMM or 99
ASPECTS score 1rst imaging	ASP1	Aspects score	Numeric	0 to 10 or 99		Requested to IHTSDO.
				260413007	None	
				261665006	Unknown	
				38917008	Right internal	
	_			30317000	carotid artery	
			Coded -	58379002	Left internal carotid artery	
Vessel affected	20059004	Cerebral artery occlusion	multiple		Right terminal	
			selection	TICA D	portion of	
				TICA_R	internal	
					carotid artery	
					Right middle	
				M1_R	cerebral artery	
					M1 segment	





European Regional Development Fund				M2_R	Right middle cerebral artery	
					M2 segment	
	1				Left terminal	
				TICA_L	portion of	Requested to IHTSDO.
				TICA_L	internal	Requested to IHTSDO.
					carotid artery	
					Left middle	
				M1_L	cerebral artery	Requested to IHTSDO.
	_				M1 segment	
					Left middle	
				M2_L	cerebral artery	Requested to IHTSDO.
	-				M2 segment	
				369299002	Left anterior cerebral artery	
	1			360300005	Right anterior	
				369298005	cerebral artery	
				369300005	Right posterior	
				309300003	cerebral artery	
				369301009	Left posterior	
	-			303301003	cerebral artery	
				369354007	Right vertebral	
	-				artery	
				369355008	Left vertebral	
	-				artery	
				59011009	Basilar artery	
					Thrombolysis	
Reperfusion	27622225		Coded -	4721910001191	of cerebral	
treatment	276239002	Therapy	multiple	01	artery by	
administered			selection	on O1	intravenous	
					infusion	



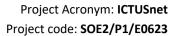
Regional Development Fund					21710002	Intracranial thrombectomy	
					230934004	Thrombolysis of intracranial vessel	
Date/time iv				date			
thrombolysis				time			Date YYYYMMDDTHHMM or 99
Type of		44.6600005	5 11	Coded -	387152000	Alteplase	conditional to Thrombolysis of cerebral
thrombolityc treatment		416608005	Drug therapy	single selection	127967007	Tenecteplase	artery by intravenous infusion
Transfer from another Centre for EVT	The Centre that enter the data is the Centre where EVT is done. In some regions, according to their Code Stroke protocol, patients are firstly taken to a primary centre where IVT is administered and then transferred to a comprehensiv e Centre. This variable seeks	439980006	Referral placed	Boolean	0	No	



European Regional Development Fund	to collect information about that type of circuit.						
					1	Yes	
Date time of arrival to another Centre for EVT		183467009	Neurology emergency hospital admission	date time			Date YYYYMMDDTHHMM or 99
					34227000	CT of brain	
		-		Coded - multiple	433111008	CT of brain perfusion	
-					29567006	MRI of brain and brain stem	
					419059006	MRI cerebral perfusion study	
Following imaging		71388002	Procedure		426099006	CT of cerebral vessels	
		1 233332		selection	241664002	MRI of cerebral vessels	
		_			431648005	Transcranial doppler ultrasonograp hy	
					394719009	Carotid artery doppler assessment	



uropean Regional Development Fund	1	1	<u> </u>				
					129118002	Arteriography	
					262008008	Not performed	
Date/time following imaging				date time			conditional to Following imaging if diferent a "Not performed"
ASPECTS score following imaging		ASP2	Aspects score	Numeric	0 to 10 or 99		Requested to IHTSDO.
NIHSS prearteriography	NIHSS previous to the procedure	450741005	National Institutes of Health stroke scale	Numeric	0 to 42 or 99		
Date/time of arterial puncture		DTAP	Date/time of arterial puncture	date time			Date YYYYMMDDTHHMM or 99
					260413007	None	
					261665006	Unknown	
					38917008	Right internal carotid artery	
					58379002	Left internal carotid artery	
					TICA_R	Right terminal portion of internal	
Vessel affected by		20059004	Camalama ambam casalcaisas	Coded -		carotid artery	
arteriography		20059004	Cerebral artery occlusion	multiple selection		Right middle	
				Sciection	M1_R	cerebral artery M1 segment	
					M2_R	Right middle cerebral artery	
						M2 segment	
						Left terminal	
					TICA_L	portion of internal	Requested to IHTSDO.
						carotid artery	





European Regional Development Fund	 					
				M1_L	Left middle cerebral artery M1 segment	Requested to IHTSDO.
				M2_L	Left middle cerebral artery M2 segment	Requested to IHTSDO.
				369299002	Left anterior cerebral artery	
				369298005	Right anterior cerebral artery	
				369300005	Right posterior cerebral artery	
				369301009	Left posterior cerebral artery	
				369354007	Right vertebral artery	
				369355008	Left vertebral artery	
				59011009	Basilar artery	
Initial mTICI score	mTICI_Initi al	modified treatment in cerebral ischemia	Text	0 or 1 or 2a or 2b or 2c or 3 or 99		Requested to IHTSDO.
				0	Mechanical thrombectomy	
EVT modality			Coded - single selection	1	pharmacologic al	Revisar valors



European Regional Development Fund							
				2	both		
Device model	49062001	Device	Text				
Number of passes	447754009	Number of procedure attempts	Numeric			passes	Integer
Use of balloon	86768006	Balloon pump	Boolen	0	No		
				1	Yes		
				360046005	Arterial stent		
			Coded -	413766009	Carotid stent		
Stent	65818007	stent	single selection	705639000	Intracranial vascular stent		
				260413007	None		
Embolization to new territories?	EMB	Embolization to new	Boolen	0	No		Requested to IHTSDO
		territories?	Boolen _	1	Yes		
Final mTICI score	mTICI_Fina I	modified treatment in cerebral ischemia	Numeric	0 or 1 or 2a or 2b or 2c or 3 or 99			Requested to IHTSDO. Used to represent: initial and final (diferenciated by associated date-time)
Date/time of revascularization/e nd of procedure	DTRP	Date/time of revascularization/end of procedure	date time				Date YYYYMMDDTHHMM or 99
Neuroimaging <=36	183616001	Follow up arranged	Boolean	0	No		
h post-EVT	103010001	Follow-up arranged	boolean	1	Yes		
SICH	SICH		Boolen	0	No		Requested to IHTSDO



European Regional Development Fund			Secondary intracraneal hemorrage		1	Yes	
3 month mRS	Modified Ranking Scale, with its associated data and time. For the 3- month mRS, if the final value is 6 (which implies death), the data of death will also being indicated	273729003	Rankin scale	Numeric	0 to 8		
Death date		419099009	Died	date time			Date YYYYMMDDTHHMM or 99. Required if "3 month mRS" is 6

Figure 2. Dataset content and databased structure of the ICTUSnet Registry



4.2. Technical Interoperability

Our first approach was to be aligned with the international standard protocols like HL7 (Health Level Seven International) with FHIR (Fast Healthcare Interoperability Resources), as they are newest standard of HL7 to exchange healthcare information based on REST (Representational State Transfer) web services. It includes both XML and JSON (JavaScript Object Notation) formats definition and it has been design to facilitate the adoption of the standards within newest technologies and mhealth environments. A Web Service was initially design to send the information from local registries to AQuAS (where the ICTUSnet central registry is going to be located) using these standards. However, due to security problems detected, an intermediate platform was designed to proceed with the sending of information.

4.2.1. Information System architecture

The following is a description of the different technologies used:

- Java 8 virtual machine: It is the "translator" between Java and the operating system, the basic functionalities of the language are found.
- Different standard Java technologies are used, as:
 - Java Persistence API (JPA) 2 .1 for data persistence.
 - Java Transaction API (JTA) 1.2 in charge of database transactionality. It is implemented by Hibernate.
 - Context Dependency Injection (CDI) 1.1 for dependency injection. Implemented by Weld.
 - Bean Validation 1.1 for the validation of Java classes, is understood with JAX-RS and JSF for the validation of the forms. Implemented by Hibernate Validator.
 - Logback 1.1.7 with SFL4j 1.7.21 for log generation.
 - Apache Commons 3.4 for basic utilities of numbers, strings, reflection, etc.

4.2.1.1. Features

- Log: Ability to generate logs for the application.
- Audit: Audit tables of application processes.
- Validation: Screen components, before being persisted are validated to verify that the data types are correct; in addition there are validations at the level of logic.
- Exceptions and control of errors: Control of all possible errors offering the maximum information to the user and technicians. In order to avoid system crashes by controlling all possible casuistry.
- Multi language: Internationalization to allow applications to be in different languages.
- Environments: Using a variable at the start of the application you can choose one or other configuration parameters.

 $\begin{tabular}{ll} ICTUSnet: & - Deliverable & 1.3.1 - Technical Interoperability Document \\ Version & 5 & Final \\ \end{tabular}$

Page 36 of 41



- Configuration files: management of the different configuration files, commonly "properties" or "xml".
- Security: Different rules and protocols to ensure the security of the application.
- Navigation: Control of navigation between the different screens.
- Session control: Control of the different objects of the session, such as the disconnection of the user in case of inactivity.
- Application management:
 - Users, Roles and Menus
- Authentication: Application access control.
- Master table detail: Table of tables for the different configuration parameters of the application or entities with few records and interaction.

4.2.1.2. Definition of the framework

This section describes the design rules and standards that are applicable in the development of the platform:

1) Tools

For the development of the application:

- Eclipse Oxygen: As a development interface in Java, XHTML and CSS.
- WildFly 10.0.0.Final: As an application server for the web application.
- SQLServer: As a database manager.
- Maven: It is a software tool for the management and construction of Java projects.
- Postman: For testing and development of REST services. Does not apply to ICTUSnet.
- Continuous development integration:
 - Gitlab as a Git version controller.
 - Gitlab CI as a continuous integration engine.
 - Artifactory as a library repository.
 - SonarQube for code analysis.

2) ECB (Entity, Control and Boundary)

When identifying the elements present in a scenario to be analyzed, each type of behavior found can be aligned with one of the following three main perspectives: Entity, Control or Boundary (Boundary). Although the final design may be altered by the characteristics of the language used and other quality elements, a first approach that covers the required system behavior can always be coupled with elements from these three perspectives.

This pattern is similar to the Model Vista Driver pattern, but ECB is not used to define user interfaces. An entity is a passive element of the system, responsible for some significant part of the information. You can also manage this information with some associated behaviour.



A control element manages the interaction within the scenario, from end to end, or within a subset of elements. Behavior and business rules related to relevant information should be assigned to entities, while the controller is solely responsible for the flow within the scenario.

A Boundary element is located on the periphery of the system, but within it. Depending on the structure of the scenario, some of these elements will be of the "front-end" type, which accept inputs from the outside, for example from a user interface. They can also be of the "back-end" type, managing the communication and support of elements outside the system or subsystems. This pattern can be easily modeled using a UML robustness diagram (Figure 3).

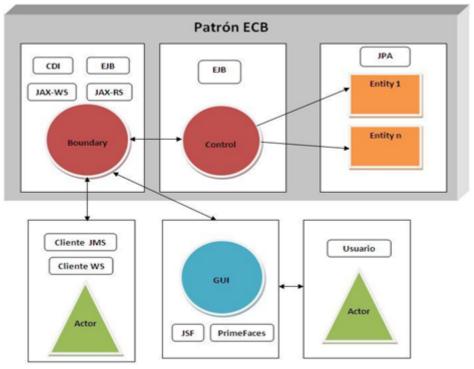


Figure 3. ECB pattern

3) Definition of Technological Environments

- Production: This environment provides the real exploitation capacity of the information system. Stability and security are maximized. The implementation in this environment must be performed by systems personnel, without any contact with the application development tasks, based on the implementation instructions provided by the development teams.
- Pre-production: It is an exact replica of the production environment. Any abnormal behavior of the system that
 occurs in Production may be reproduced in this environment. The development team will not access this
 environment.
- Development: The testing environment aims to provide an environment to run unit, integration, and acceptance testing.

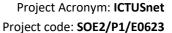
4.2.1.3. Physical Data Design

This section describes everything related to the persistence of the Information System.

1) Specification of the DBMS and basic design and architecture characteristics

ICTUSnet: - Deliverable 1.3.1 - Technical Interoperability Document Version 5 Final

Page 38 of 41





Specification of the Database Management System to be used by the Information System. Definition of the necessary configurations and the necessary architecture for the operation.

2) Optimized physical data design

Based on the class model in the previous section (Figure 3), the optimized physical data design and database model diagram is performed (Figure 4).

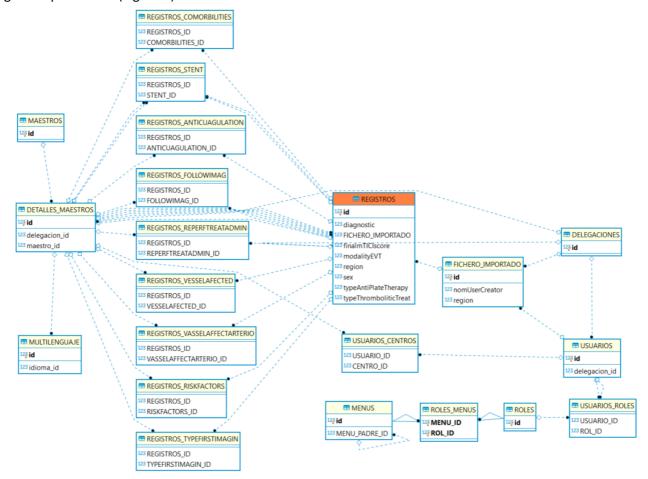


Figure 4. BASE scheme

ICTUSnet: - Deliverable 1.3.1 - Technical Interoperability Document Version 5 Final

Page **39** of **41**



4.2.2. How to send information to the ICTUSnet registry

Users of regional registries (members of the ICTUSnet Consortium) must prepared an excel file following the structure and content explained in the Semantic interoperability section. Once it is filled with the full information (meaning that every case entered has to have the 3 month follow-up already done), a csv file has to be uploaded in the ICTUSnet registry platform following the instructions (Box 1)

The authorized user has to login login the platform designed (Figure 5) and follow the indications.

Box 1. (1) consider every row is a case; 2) every case has to have a unique Id (that it would be reconverted once uploaded the file); 3) choose properly your region code; 4) the character "|" must appear 47 times: the excel file has 48 fields that have to be (all) filled up as follows: all the variables are mandatory except those in orange. Those variables in green are conditional to the values of the variable above; 5) if there are unknown values for mandatory variables, put 99 only in places permitted; 6) if there are unknown values for non-mandatory or conditional variables, leave a blank space between pipes (||).

Figure 5. ICTUSnet registry login



ICTUSnet: - Deliverable 1.3.1 - Technical Interoperability Document Version 5 Final

Page 40 of 41



Data Manager at AQuAS will be the administrator of ICTUSnet registry.



Figure 6. ICTUSnet registry platform

(The csv file that I sent you is just a model and the "new" information has to be sent following the next instructions:

- Every row is a case.
- Every case has to have a unique Id (that we reconvert once we upload the file).
- Your region code for CHUM is FR-OCCEAST. Once the programm is ready, we, as administrator, give you a login and password to upload only information of CHUM. If you put another value in the variable "region", it will be impossible to upload the file.
- The character "|" must appear 47 times: the excel file has 48 fields that have to be (all) filled up as follows: all the variables are mandatory except those in orange. Those variables in green are conditional to the values of the variable (there explanation the colum the file above is an in last of excel "20191002_Variables_ICTUSnet_appweb.xlsx"). If there are unknown values for mandatory variables, you must put 99 only in places permitted. If there are unknown values for non-mandatory or conditional variables, you must leave a blank space between pipes (||).